COMPLETE AND SUBMIT THESE REQUIRED FORMS WITH YOUR BID SUBMISSION (INCLUDING YOUR BID FORM/FEE SCHEDULE)

File/LD. No.:
Orig. Deptar
CITY OF HOUSTON OWNERSHIP INFORMATION FORM
PROJECT AND BID/PROPOSAL PREPARER INFORMATION
Project or Matter Being Bid:
Bidder's complete firm/company business information Name: Business Address [No./Street] City / State / Zip Code Telephone Number
Bidder's email address Email Address:
STATEMENT OF RESIDENCY (THE STATEMENT OF RESIDENCY PORTION OF THIS DOCUMENT IS NOT APPLICABLE IF THE SOLICITATION INDICATES FEDERAL FUNDS WILL BE USED)
TEX. GOV'T CODE §2252.001, §(4) defines a "Resident bidder" as a bidder whose principal place of business* is in this state, and includes a contractor whose ultimate parent company or majority owner has its principal place of business in this state.
TEX. GOV'T CODE §2252.001§ (3) defines a "Nonresident bidder" as a bidder who is not a resident in this state.
* Principal Place of Business in Texas means that the business entity:
 has at least one permanent office located within the State of Texas, from which business activities other than submitting bids to governmental agencies are conducted and from which the bid is submitted; and has at least one employee who works in the Texas office.
Based on the definitions above, your business is a: TEXAS RESIDENT BIDDER NONRESIDENT BIDDER
If you are a Nonresident Bidder, does your home state have a statute giving preference to resident bidders? If so, you must attach a copy of the statute to this Document.
A copy of the State of statute is attached.
NOTE: The State of residency of a bidder is not used in the decision-making criteria for the award of contracts for projects receiving federal funding, whether in whole or in part.

Orig. Dept.:	w	File/I,D. No.:
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CITY OF HOUSTON OWNERSHIP INFORMATION FORM

CONTRACTING ENTITY ORGANIZATIONAL ENTITY TYPE **NON-PROFIT ENTITY:** FOR PROFIT ENTITY: NON-PROFIT CORPORATION SOLE PROPRIETORSHIP UNINCORPORATED ASSOCIATION CORPORATION PARTNERSHIP LIMITED PARTNERSHIP JOINT VENTURE LIMITED LIABILITY COMPANY OTHER (specify in space below) LISTING OF ADDRESSES List all current and prior addresses where the bidder does/has done business or owns property (real estate and/or business personal property) in the city of Houston ("Houston") in the past 3 years from the date of submittal of this form. If within the past 3 years from the date of submitting this form, the bidder does not and has not done business and has not or does not own property (real estate and/or business personal property) in Houston, please state "None" on the first line below. Address Address Address ATTACH ADDITIONAL SHEETS AS NEEDED.

•

	File/I.D. No.:
Orig. Dept.:	
CITY OF HOUSTON OW	NERSHIP INFORMATION FORM
LISTING	OF OFFICERS
LIST ALL OFFICERS OF THE ENTITY, REGAR STATE "NONE")	DLESS OF THE AMOUNT OF OWNERSHIP (IF NONE
Name	Addrese
Name	Address
Name	
Officer	Address
NameOfficer	Address
NameOfficer	Address
Name	Address
LISTING OF DIF	RECTORS OR MEMBERS
LIST ALL DIRECTORS OF THE ENTITY, REGASTATE "NONE")	ARDLESS OF THE AMOUNT OF OWNERSHIP (IF NONE
Name	Address
Name	Address
Name	Address

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Director or Member

Name _____

Address

Address

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Oria.	Dept.:

ı	File/LD.	No.
ш	1117/12/	112"

CITY OF HOUSTON OWNERSHIP INFORMATION FORM

DISCLOSURE OF OWNERSHIP (OR NON-PROFIT OFFICERS)

Bidders are required to disclose all owners of 10% or more of the Contracting Entity. For non-profit entities, please provide the complete information for the President, Vice-President, Secretary, and Treasurer.

In all cases, use <u>full</u> names, local business <u>and</u> residence addresses and telephone numbers. Do <u>not</u> use post office boxes for any address. Inclusion of E-mail addresses is optional, but recommended.

ATTACH ADDITIONAL SHEETS AS NEEDED.

Contracting Entity:

Name:
Business Address [No./Street]
City / State / Zip Code
Telephone Number
Email Address:

DISCLOSURE OF OWNERSHIP (OR NON-PROFIT OFFICERS) continued.

Owner(s) of 10% or More (IF NONE, STATE "NONE."):

Name:

Business Address [No./Street]
City / State / Zip Code
Telephone Number
Email Address:
Residence Address [No./Street]
City / State / Zip Code

Owner(s) of 10% or More (IF NONE, STATE "NONE."):

Name:

Business Address [No./Street]
City / State / Zip Code
Telephone Number
Email Address:
Residence Address [No./Street]
City / State / Zip Code

ATTACH ADDITIONAL SHEETS AS NEEDED.

Orig. Dept.:	File/I.D. No.:
	USTON OWNERSHIP INFORMATION FORM
	DNAL: TAX APPEAL INFORMATION
to the shared areas are an armarlat	ficer is actively protesting, challenging, or appealing the accuracy a tax appraisal district, please provide the following information:
Debtor (Firm or Owner Name):	·
Tax Account Nos.:	
Case or File Nos.:	
Attorney/Agent Name:	
Attorney/Agent Phone No.:	
Tax Years:	
Status of Appeal [Describe]: If an appeal of taxes has been file	ed on behalf of your company, please include a copy of the
official form receipted by the app	
	UIRED: UNSWORN DECLARATION
the capacity noted below, and that I	ubmit this form on behalf of the firm, that I am associated with the firm in have personal knowledge of the accuracy of the information provided in contained herein is true and correct to the best of my knowledge. I wrate information with my submission may result in my submission being esponsible.
Preparer's Signature	Date

NOTE: This form constitutes a governmental record, as defined by Section 37.01 of the Texas Penal Code. Submission of a false government record and falsification of a governmental record are crimes, punishable as provided in Section 37.10 of the Texas Penal Code.

Printed name

Title



City of Houston Certification of Compliance with Pay or Play Program



Contractor Name:		\$		
Contractor Address:	(Contractor/Subcontractor)	(Amoi	unt of Contract)	
Project No.: [GFS/CIP/AII	P/File No.]			
Project Name: [Legal Proj	ect Name]			
POP Liaison Name:				
In accordance with the Contractor/Subcontractor subject to the program.	City of Houston Pay or Play Program auth agrees to abide by the terms of this Program. You must agree EITHER to PAY or to PLAY for ne covered employees and Play on behalf of other	orized by Ordinance 2 This certification is requall covered employees.	ired of all contractors fo	or contrac
requested to determine of	actor will comply with all provisions of the Pay o compliance with program requirements of the Pay The criteria of the program is as follows:			
City. If independent contr	actor agrees to "Pay" \$1.00 per hour for work pe act labor is utilized the Contractor/Subcontractor r hour for work performed.			
Otherwise the Contractor must meet the following of	/Subcontractor agrees to "Play" by providing hea	ith benefits to each cove	ered employee. The hea	Ith benefi
and 2. The employee contr month. 3. Pursuant to E.O. 1-	ontribute no less than \$150 per employee per mo ibution, if any amount, will be no greater than 5 7 section 4.04 a contractor is deemed to have effits if the employee refuses the benefits and the e	0% of the total premium	n cost and no more than	n \$150 pe
	Please select whether you choose to:	y Play Both		
program, in the form an including, but not limited t Note: The Contractor is	actor will file compliance reports with the City, whi d to the extent requested by the administering o, documentation showing employee health cover responsible to the City for the compliance of curate and complete will be accepted.	department. Compliance age and employee work	e reports shall contain i records.	informatio
π _{Es}	timated Number of:	Prime Contractor	Sub- Contractor	
	Employees on City Job		The second secon	
	overed Employees			
Non	-Covered Employees			
	kempt Employees			
*Required I hereby certify that the above				
Contractor (Signature)		Date		
Name and Title (Print or type)				



City of Houston Pay or Play Program Acknowledgement Form



It has been determined that the project currently open for bidding meets the criteria of the City of Houston Pay or Play program. This form acknowledges your awareness of the Pay or Play program which is authorized by Ordinance 2007-534. Your signature below affirms that you will comply with the requirements of the program if you are the successful bidder/proposer, and ensure the same on behalf of subcontracts subject to the Pay or Play Program.

I declare under penalty of perjury under the laws of the State of Texas that if awarded this contract which meets the criteria for the City of Houston's Pay or Play Program, I will comply with all requirements of the Pay or Play Program in accordance with Executive Order 1-7.

*Fill out all information below and submit this form with your bid/proposal packet.

Solicitation Number		
Signature .	Date	
Print Name	City Vendor ID	
Company Name	Phone Number	
Emall Address		
Note: For more information contact your P contact information can be found on www.	OP Liaison or the POP Contract Administrator. A houstontx.gov →Departments→Office of Busin	ess

Letter of Intent





THIS AGREEMENT IS SUBJECT TO MEDIATION AND CAN BE INITIATED BY THE COMPANIES SIGNED BELOW OR THE OFFICE OF BUSINESS OPPORTUNITY.

То:	City of Houston Administering Department		Date:	//2023
Proje	ct Name and Number			
Bid A	mount:	M/W/SBE Go	al:%	
		, agrees t	o enter into a cont	ractual agreement
	Prime Contractor			
with_	MWSBE Subcontrac		who will provide the	e following goods/
servi	ces in connection with the above ref			
	n estimated amount of \$	Or		% of the total
contr	ո estimated amount of ֆ act value.			_,00 01 810 10101
afore	(M/W/SBE Subcontractor) mentioned capacity.	is currently certif Office of Busines	ied with the City of s Opportunity to fu	Houston's Inction in the
of the	Prime Contractor d to work on the above-named con e City of Houston Bid Provisions, co e Contractor.	tract in accordance vontingent upon award	M/W/SBE Subcont with the M/W/SBE d of the contract to	Participation Section
Signe	ed (Prime Contactor)	Signed (M	/W/SBE Subcontract	tor)
Printe	ed Signature	Printed Sig	jnature	
Title	Date	e Title		Date

Document 00470

BIDDER'S MWSBE PARTICIPATION PLAN

The Bidder or Proposer shall submit this completed form with the bid to demonstrate the Bidder/Proposer's plan to meet the M/WBE contract goal(s) ("contract goal(s)"). If the Bidder/Proposer cannot meet the contract goal(s), the Bidder/Proposer has the burden to demonstrate "Good Faith Efforts," which shall include correctly and accurately preparing and submitting this form, a Record of Good Faith Efforts (Document 00471), a Request for Deviation from the Goal (Document 00472), and supporting documentation evidencing their "Good Faith Efforts," as required by the City of Houston's Good Faith Efforts Policy (Document 00808). The City will review the Participation Plan and Good Faith Efforts at the time of bid opening. Visit http://www.houstontx.gov/obo for more information.

City Advertised Contract Goal	MBE	WBE .	Any excess of An SBE can be Up to 50% of t Up to 50% of t a City-certified	one Goal ca e applied to the Bidder's Inhe advertise firm. Bidder Invel partici	nnot be he MBE articipa d goal m must se	appliand tion tay belect of erce	Contract Goals, to be met individually ided to meet another Goal. /or WBE Goal, but not to exceed 4%. plan may be met using Suppliers. e met at the Prime level if the Prime is one (1) certification type for Prime leventage must not exceed the individual level credit does not apply to SBE.
NAICS Code (6 digit)	(Plan Sh Price #,	on of Work eet #, Unit Scope of s applicable)	% of Total Bid Price (2 decimal places; for example: 5.00%)	Services or Supplier	Cert. Ty for Go MBE WBE, SBE	al: or	Certified Firm Name Firm Address Contact Name Phone No. and E-Mail
					MBE WBE		PRIME LEVEL CREDIT ONLY. CREDIT MUST NOT EXCEED 50%
					MBE		OF ADVERTISED GOAL.
		•			WBE	0	
					SBE		
					MBE		
					WBE		
					SBE		
				,	MBE		
					WBE		
					SBE		
By submitti subcontra Bidder's Participati Plan Tota	ctors/sub	consultant	m agrees to ente s listed on this pa VBE SBE	Authoriz	plan up ed Signa	on a	cting agreement(s) with the MWBE fward of a contract from the City.
Company Nar	ne:	41		[)ate:		
Phone:				E	Email:		

DOCUMENT 00470

BIDDER'S MWSBE PARTICIPATION PLAN CONTINUATION PAGE

NAICS Code (6 digit)	Description of Work (Plan Sheet #, Unit Price #, Scope of Work #, as applicable)	% of Total Bid Price (2 decimal places; for example: 5.00%)	Services or Supplier	Cert. To for Go MBE WBE, SBE	al: or	Certified Firm Name Firm Address Contact Name Phone No. and E-Mail
				MBE WBE SBE	0	
			•	MBE WBE SBE		
				MBE WBE SBE		
				MBE WBE SBE	D D	
				MBE WBE SBE		
				MBE WBE SBE		
				MBE WBE SBE	0 0 0	
				MBE WBE SBE	0	
				MBE WBE SBE	0	
				MBE WBE SBE	0	
				MBE WBE SBE	0	

^{*}I understand that supplying inaccurate information may violate Texas Penal Code Section 37.10 and lead to City sanctions.

Attachment

CITY OF HOUSTON CERTIFIED MWSBE SUBCONTRACT TERMS

Contractor shall ensure that all subcontracts with M/WSBE subcontractors and suppliers are clearly labeled "THIS CONTRACT IS SUBJECT TO MEDIATION" and contain the following terms:

- 1. (M/WSBE subcontractor) shall not delegate or subcontract more than 50% of the work under this subcontract to any other subcontractor or supplier without the express written consent of the City of Houston's Office of Business Opportunity Director ("the Director").

 2. (M/WSBE subcontractor) shall permit representatives of the
- (M/WSBE subcontractor) shall permit representatives of the City of Houston, at all reasonable times, to perform 1) audits of the books and records of the subcontractor, and 2) inspections of all places where work is to be undertaken in connection with this subcontract. Subcontractor shall keep such books and records available for such purpose for at least four (4) years after the end of its performance under this subcontract. Nothing in this provision shall affect the time for bringing a cause of action or the applicable statute of limitations.
- 3. Within five (5) business days of execution of this subcontract, Contractor (prime contractor) and Subcontractor shall designate in writing to the Director an agent for receiving any notice required or permitted to be given pursuant to Chapter 15 of the Houston City Code of Ordinances, along with the street and mailing address and phone number of such agent.

These provisions apply to goal-oriented contracts. A goal-oriented contract means any contract for the supply of goods or non-professional services in excess of \$100,000.00 for which competitive proposals are required by law; not within the scope of the MBE/WBE/SBE program of the United States Environmental Protection Agency or the United States Department of Transportation; and which the City Purchasing Agent has determined to have significant MWSBE subcontracting potential in fields which there are an adequate number of known MBEs , WBE's, and or SBE's (if applicable) to compete for City contracts.

The MWSBE policy of the City of Houston will be discussed during the pre-proposal conference. For Information, assistance, and/or to receive a copy of the City's Affirmative Action Policy and/or Ordinance, contact the Office of Business Opportunity Division at 832.393.0600, 611 Walker Street, 7th Floor, Houston, Texas 77002.



CITY OF HOUSTON PAY OR PLAY PROGRAM

Form POP-3 (Document 00631)

LIST OF PARTICIPATING SUBCONTRACTORS

				r than \$200,000, and	Mailing Address					
				Complete these columns if subcontract value is greater than \$200,000, and subcontractor is <u>not</u> a supplier	Email Address					
				ese columns if su subcon	Phone					
POP Contact Person		Finali. Phone:		Complete the	Contact Person					
- 1	١				N/A		 			
				k One	Both (Pay and Play)					
		ĺ		Check One	Play					
			0,00	ပ်	4					
			east \$200,00	Ch	Pay PI					
			contracts of at least \$200,000	Ch						
	Project Number/Descripti		Note: Include all subcontractors with contracts of at least \$200,000	Ch	Pay					

Affidavit

sharing, labor or any payments in relation to the contracted work and no separate payment or contract has been made for the sub-contract under contract contract Compliance of City of above sub-contract value includes all the costs related to work under the contract. The contractor and sub-contractor(s) agree to inform Affirmative Action and Contract Compliance of City of Houston of any related cost(s) added to the contracted work and re-submit POP-3 with the current value of the sub-contract. I/We understand that compliance with "Pay or Play" program is I/We hereby solemnly affirm, certify and confirm that the total sub-contract value stated above is the final value of the contract including all material costs, fuel, payroll, taxes, fees, profit mandatory and nothing has been hidden to circumvent the program requirements.

Contractor Authorized Representative & Title Date
Name & Signature

Sub Contractor Authorized Representative and Title Name & Signature

Date

Note- Form POP-2 must be submitted for each subcontractor required to participate in the POP Program.

EXHIBIT V CONFLICT OF INTEREST QUESTIONNAIRE

CONFLICT OF INTEREST QUESTIONNAIRE For vendor doing business with local governmental entity	FORM CIQ
والمراوا والم	OFFICEUSEONLY
This questionnaire reflects changes made to the law by H.B. 23, 84th Leg., Regular Session. This questionnaire is being filed in accordance with Chapter 176, Local Government Code, by a vendor who has a business relationship as defined by Section 176.001(1-a) with a local governmental entity and the vendor meets requirements under Section 176.008(a).	Date Received
By law this questionnaire must be illed with the records administrator of the local governmental entity not later than the 7th business day after the date the ventor becomes aware of facts that require the statement to be lifed. See Section 176,008(a-1), Local Government Code.	
A vendor commils an ollense il the vendor knovingly violates Saction 170.000, Local Government Code, An ollense under title section is a misdemeanor.	
1. Name of vendor who has a business relationship with local governmental entity.	
Check this box if you are filing an update to a previously filed questionnaire, (The law recompleted questionnaire with the appropriate liling authority not later than the 7th busines you became aware that the originally liked questionnaire was incomplete or inecourate.	TO HEAD WHOLE HED ANNOUND HILLON
3 Name of local government officer about whom the information is being disclosed.	
Name of Officer	
officer, as described by Section 178,008(a)(2)(A). Also described and production of Complete subparts A and B for each employment or business relationship described. Attac CIQ as necessary. A. Is the local government officer or a family member of the officer receiving or other than investment income, from the vendor?	
Yes No	
B. Is the vendor receiving or likely to receive taxable income, other than investment of the local government officer or a family member of the officer AND the laxable local governmental entity?	nt income, from or at the direction income is not received from the
Yes No	
Describe each employment or business relationship that the vendor named in Scotlon 1 other business entity with respect to which the local government officer serves as an ownership interest of one percent or more.	mainlains with a corporation or officer or director, or holds an
	<u> </u>
Check this box if the vendor has given the local government officer or a family membras described in Section 176.003(a)(2)(B), excluding gifts described in Section 176.003(a)(2)	er of the officer one or more glits 3,009(e-1).
7	
Signature of vendor doing business with the governmental entity	Date
Form provided by Texas Eithica Commission www.eithica.elate.tx.us	Revised 1/1/2021

LOCATION OF BIDDER'S INVENTORY:

Street Address:	
City:	
State:	
Zip:	

REFERENCES

In order to receive bid award consideration, the bidder must be able to demonstrate that they are currently providing or have had at least one contract, <u>as a prime contractor</u>, for services that are similar in size and scope to this contract. **Bidder must have references documenting that it has performed the similar services.** The reference(s) should be included in the space provided below. Please attach another piece of paper if necessary **Bidder's capability and experience shall be a factor in determining the contract award.**

Company Name:	Phone No.:
Address:	
Contact Name/Title:	Contact Phone No.:
Contact Email:	
Award Date:	Completion Date:
Company Name:	
	Contact Phone No.:
Award Date:	
Description:	
Company Name:	Phone No.:
Address:	
	Contact Phone No.:
Contact Email:	
Award Date:	
Company Name:	Phone No.:
Address:	
Contact Name/Title:	Contact Phone No.:
Contact Email:	
Project Name/Title:	
Award Date:	